

10527686

1/4

11048-37MIS

## PCT REQUEST

Original (for SUBMISSION) - printed on 04.09.2003 11:23:44 AM

0	For receiving Office use only	
0-1	International Application No.	PCT/CA 037 01343
0-2	International Filing Date	05 SEPTEMBER 2003 05-09-03
0-3	Name of receiving Office and "PCT International Application"	RO/CA
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.04.2003)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	Canadian Patent Office (RO/CA)
0-7	Applicant's or agent's file reference	11048-37MIS
I	Title of Invention	IMPROVED ADJUVANT TREATMENT OF STAGE I OR II BREAST CANCER
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	THE UNIVERSITY OF MANITOBA
II-5	Address:	641 Drake Centre Winnipeg, Manitoba R3T 5V4 Canada
II-6	State of nationality	CA
II-7	State of residence	CA
II-8	Telephone No.	(204) 789-3377
II-9	Facsimile No.	(204) 774-1076
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	all designated States
III-1-4	Name (LAST, First)	BRANDES, Lorne, J.
III-1-5	Address:	223 Cordova Street Winnipeg, Manitoba R3N 1A3 Canada
III-1-6	State of nationality	CA
III-1-7	State of residence	CA

## PCT REQUEST

Original (for SUBMISSION) - printed on 04.09.2003 11:23:44 AM

IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	STEWART, Michael, I.
IV-1-2	Address:	Sim & McBurney 6th Floor 330 University Avenue Toronto, Ontario M5G 1R7 Canada
IV-1-3	Telephone No.	416-595-1155
IV-1-4	Facsimile No.	416-595-1163
IV-1-5	e-mail	mistewart@sim-mcburney.com
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT</p> <p>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT</p> <p>EP: AT BE BG CH&amp;LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT</p> <p>OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT</p>
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&amp;LI CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN <u>YU</u> 14 ZA ZM ZW</p> <p style="text-align: right;">CS 4</p>

## PCT REQUEST

11048-37MIS

Original (for SUBMISSION) - printed on 04.09.2003 11:23:44 AM

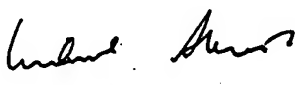
V-5	<b>Precautionary Designation Statement</b> In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.		
V-6	<b>Exclusion(s) from precautionary designations</b>	NONE	
VI-1	<b>Priority claim of earlier national application</b>		
VI-1-1	Filing date	11 September 2002 (11.09.2002)	
VI-1-2	Number	60/409,584	
VI-1-3	Country	US	
VII-1	<b>International Searching Authority Chosen</b>	European Patent Office (EPO) (ISA/EP)	
VIII	<b>Declarations</b>	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	
IX	<b>Check list</b>	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	4	-
IX-2	Description	9	-
IX-3	Claims	3	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	3	-
IX-7	TOTAL	20	
	<b>Accompanying items</b>	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-17	PCT-EASY diskette	-	Diskette
IX-19	Figure of the drawings which should accompany the abstract	1	
IX-20	Language of filing of the international application	English	

4/4

## PCT REQUEST

11048-37MIS

Original (for SUBMISSION) - printed on 04.09.2003 11:23:44 AM

X-1	Signature of applicant, agent or common representative	
X-1-1	Name (LAST, First)	STEWART, Michael, I.

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	06 SEPTEMBER 2003 05-09-03
10-2	Drawings:	
10-2-1	Received	✓
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

## FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--